

# Transaction Form For Existing Investors

This Form is for use by existing Unitholders of all funds of HSBC Mutual Fund only  
Please use a separate Form for each Transaction

No. W

|                          |                                |
|--------------------------|--------------------------------|
| Distributor / Broker ARN |                                |
| <b>ARN</b>               | <b>Prudent CAS Ltd</b><br>9992 |

|                              |
|------------------------------|
| Sub-Broker Code              |
| <b>BHAVESH MODH</b><br>27030 |

|                     |
|---------------------|
| For Office Use Only |
|---------------------|

Only empanelled Distributors / Brokers will be permitted to distribute Units of HSBC Mutual Fund.

## 1 UNITHOLDER INFORMATION (MANDATORY)

|                                      |  |  |  |  |  |
|--------------------------------------|--|--|--|--|--|
| Folio No.                            | <input type="checkbox"/> Sole / First Unitholder's Name                                  | <input type="checkbox"/> Mr/Ms/M/s   |  |  |  |
| Sole / First Unitholder              |  | Guardian   | Second Unitholder  | Third Unitholder   |  |
| PAN** (Mandatory)                    |  |  |  |  |  |
| Enclosed (✓)                         | <input type="checkbox"/> PAN Card Copy<br><input type="checkbox"/> KYC Compliance Proof* | <input type="checkbox"/> PAN Card Copy<br><input type="checkbox"/> KYC Compliance Proof* | <input type="checkbox"/> PAN Card Copy<br><input type="checkbox"/> KYC Compliance Proof* | <input type="checkbox"/> PAN Card Copy<br><input type="checkbox"/> KYC Compliance Proof* |  |
| Date of Birth (Mandatory for Minors) | D D M M Y Y Y Y  |  |  |  |  |

\* W.e.f. 01 February, 2008, if the investment is Rs. 50,000 and above, all the applicants need to be KYC Compliant. Please see point 8 under General Instructions.  
\*\* Please note that w.e.f. 01 January, 2008, copy of PAN Card is Mandatory for all investors (including Joint Holders, Guardian in case of Minor and NRIs). Please submit photocopy of PAN Card (alongwith the original) for verification, which will be returned across the counter. Please see point 7 under General Instructions.

## 2 ADDITIONAL PURCHASE (Please ✓ your choice of Scheme / Plan / Option) (See instruction 1-4)

|                         |  |                                       |
|-------------------------|--|---------------------------------------|
| Scheme Name             | <input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HAIF <input type="checkbox"/> HEMF <input type="checkbox"/> HDF <input type="checkbox"/> HIF <input type="checkbox"/> HGF <input type="checkbox"/> HMIP <input type="checkbox"/> HFRF <input type="checkbox"/> HCF <input type="checkbox"/> HLPF <input type="checkbox"/> HFDF |                                       |
| Plan                    | <input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus  |                                       |
| Sub-option              | <input type="checkbox"/> Growth* <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout   |                                       |
| Dividend Frequency      | <input type="checkbox"/> Daily** <input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly# <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly^  |                                       |
| Investment Amount (Rs.) | DD charges (Rs.)   | Net Amount (Cheque / DD amount) (Rs.) |
| (Rs. in words)          |  |                                       |
| Mode of Payment         | Cheque / DD / Fund Transfer / _____  | Cheque / DD No.                       |
| A/c. No.                | A/c. Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* (* For NRI Investors)  |                                       |
| Drawn on Bank           |  |                                       |
| Branch                  |  |                                       |
| City                    |  |                                       |

**MANDATORY DECLARATION:** The details of the bank account provided above pertain to my / our own bank account in my / our name (✓)  Yes  No. If No, my relationship with the bank account holder is (✓)  Spouse  Child  Parent  Relative  Sibling  Friend  Others. Transaction Form without this information is liable to be rejected.

## 3 REDEMPTION (Please ✓ your choice of Scheme / Plan / Option) (See instruction 1, 2 & 5)

|  |  |                                    |
|--|--|------------------------------------|
| Scheme Name                                      | <input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HAIF <input type="checkbox"/> HEMF <input type="checkbox"/> HDF <input type="checkbox"/> HIF <input type="checkbox"/> HGF <input type="checkbox"/> HMIP <input type="checkbox"/> HFRF <input type="checkbox"/> HCF <input type="checkbox"/> HLPF <input type="checkbox"/> HFDF <input type="checkbox"/> HFTS <input type="checkbox"/> HINF |                                    |
| Plan   | <input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus  |                                    |
| Sub-option                                       | <input type="checkbox"/> Growth <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout  |                                    |
| Dividend Frequency                               | <input type="checkbox"/> Daily** <input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly# <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly^  |                                    |
| <input type="checkbox"/> Amount (Rs. in figures) | <input type="checkbox"/> OR <input type="checkbox"/> No. of Units  | <input type="checkbox"/> All Units |
| (Rs. in words)                                   |  |                                    |

## 4 SWITCH (Please ✓ your choice of Scheme / Plan / Option)

|  |   |                                    |
|--|---|------------------------------------|
| Switch From                                      | Switch To   |                                    |
| Scheme Name                                      | Scheme Name   |                                    |
| Plan   | Plan  |                                    |
| Option   | Option  |                                    |
| Sub-option                                       | Sub-option  |                                    |
| Dividend Frequency                               | Dividend Frequency  |                                    |
| <input type="checkbox"/> Amount (Rs. in figures) | <input type="checkbox"/> OR <input type="checkbox"/> No. of Units | <input type="checkbox"/> All Units |

\* Default Sub-option, if not ticked. The Dividend Option (Reinvestment or Payout) chosen will be applied to all existing Units held in that Scheme in the destination Folio.  
\*\* Applicable for Institutional / Institutional Plus Options of HCF, HFRF-ST, HIF-ST, HIF-IP and HLPF only. # Applicable for Institutional / Institutional Plus Options of HCF, HFRF-ST, HIF-ST, HIF-IP, HLPF and Regular / Institutional Plus Option of HFDF only. ^ Applicable for Institutional Plus Option of HFDF only.  
Please note that dividend payout is available only in the Monthly Sub-Option.

## 5 CHANGE OF BANK MANDATE

|                |   |
|----------------|---|
| A/c. No.       | A/c. Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* (* For NRI Investors) |
| Bank Name      |   |
| Branch Address |   |
| MICR Code      | RTGS IFSC Code For Rupees One lakh and above  |
|                | NEFT IFSC Code For less than Rupees One lakh  |

▲ (9 digit number next to your Cheque No.) Please also provide a cancelled cheque leaf of the same bank account as mentioned above. Mentioning your RTGS IFSC Code or NEFT IFSC Code, as applicable, will help us transfer the amount to your bank account quicker, electronically.

...continued overleaf ⇨

## ACKNOWLEDGEMENT SLIP (To be filled by the investor)

No. W

Note: This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

|   |                    |
|---|--------------------|
| Folio No.   | Name               |
| Received from the above mentioned investor the following :  |                    |
| <input type="checkbox"/> Additional Purchase Scheme   | Total Amount (Rs.) |
| Cheque No(s)  | Drawn on           |
| <input type="checkbox"/> Redemption or <input type="checkbox"/> Switch Amount (Rs.)   | OR Units           |
| <input type="checkbox"/> STP <input type="checkbox"/> SEP <input type="checkbox"/> Change of Bank Mandate <input type="checkbox"/> Change of Address <input type="checkbox"/> Nomination / Cancellation of Nomination |                    |

Signature, Stamp & Date

